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Assistant Commissioner for Patents, Washington, D.C. 20231, on November 16, 1999.

*Michelle Fissel*  
Michelle Fissel

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group 2700

In the application of:

NEWMAN et al.

Serial No.: 09/407,531

Filing Date: September 28, 1999

For: A METHOD AND SYSTEM FOR A  
SOFTWARE RELEASE PROCESS



Examiner: Unassigned

Group Art Unit: Unassigned

*HZ*

TRANSMITTAL

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed please find the following:

- 1) Information Disclosure Statement Under 37 C.F.R. § 1.97 (3 pages);
- 2) Form PTO-1449 (1 page) with 5 (five) attached references; and
- 3) A return receipt postcard.

The Assistant Commissioner is hereby authorized to charge any fees under  
37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this transmittal, or to credit any

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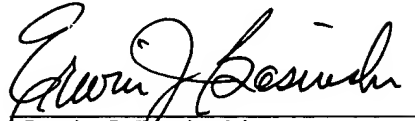
Group 2700

overpayment, to Deposit Account No. 03-1952.

Respectfully submitted,

Dated: November 16, 1999

By:



Erwin J. Basinski  
Registration No. 34,773

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PATENT  
Docket No. 357802000400

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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Michelle Fissel  
Michelle E. Fissel

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**Group 2:700**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In the application of:**

NEWMAN et al.

Serial No.: 09/407,531

Filing Date: September 28, 1999

**For: A METHOD AND SYSTEM FOR A  
SOFTWARE RELEASE PROCESS**



Examiner: Unassigned

Group Art Unit: Unassigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

Applicant calls to the Examiner's attention the following co-pending, related application:  
United States Patent Application Serial No. 09/400,734 entitled "Method and System For An  
Automated Net Booting Tool" filed September 22, 1999 (Atty. Docket No. 357802000300).

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.


This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 357802000400. However, the Assistant

Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 16, 1999

Respectfully submitted,

By:   
Erwin J. Basinski  
Registration No. 34,773

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